

4-17-00

PATENT

Attorney Docket No. TRANSVI.007A

Date: April 13, 2000

Page 1

ASSISTANT COMMISSIONER FOR PATENTS

WASHINGTON, D.C. 20231

ATTENTION: BOX PATENT APPLICATION

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Reynolds Gorsuch and Henry Grage

For: SPECIALIZED HOLLOW FIBER MEMBRANES FOR IN-VIVO PLASMAPHERESIS AND  
ULTRAFILTRATION

Enclosed are:

- (X) 17 sheets of formal drawings.
- (X) Petition to Submit Black and White Photo Drawings.
- (X) Recordation form cover sheet with 2-page assignment.
- (X) A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- (X) A power of attorney form and copy of assignment.
- (X) Initial signed declaration by inventor(s).
- (X) Return prepaid postcard.

## CLAIMS AS FILED

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
Basic Fee			\$345	\$345
Total Claims	90 - 20 =	70x	\$9	\$630
Independent Claims	4 - 3 =	1 x	\$39	\$39
If application contains any multiple dependent claims(s), then add			\$130	\$130
<b>TOTAL FILING FEE</b>		<b>\$1,144</b>		

- (X) A check in the amount of \$1,144 to cover the filing fee is enclosed.
- (X) A check in the amount of \$40.00 to cover the assignment recording fee.
- (X) A check in the amount of \$130.00 to cover the Petition to Submit Black and White Drawings is enclosed.


PATENT

Attorney Docket No. TRANSVI007A

Date: April 13, 2000

Page 2

- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment to Account No. 11-1410. A duplicate copy of this sheet is enclosed.
- (X) Please use Customer No. 20,995 for the correspondence address:

  
\_\_\_\_\_  
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INTELLECTUAL PROPERTY LAW  
**K O B B E , M A R T E N S , O L S O N & A R**

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Assistant Commissioner for Patents  
 Washington, D.C. 20231

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Attorney Docket No. : TRANSVI.007A

Applicant(s) : Gorsuch, et al.

For : SPECIALIZED HOLLOW FIBER MEMBRANES  
 FOR IN-VIVO PLASMAPHERESIS AND  
 ULTRAFILTRATION

Attorney : Jerry R. Seiler

"Express Mail"

Mailing Label No. : EL501130147US

Date of Deposit : April 13, 2000

I hereby certify that the accompanying Transmittal in Duplicate; Specification in 16 pages; 17 sheets of drawings; Signed Declaration by Inventor in 2 pages; Signed Declaration and Power of Attorney in 2 pages; Recordation Form Cover Sheet and Assignment in 3 pages; Power of Attorney by Assignee in 2 pages; Small Entity Statement; Submission of Formal Drawings; Information Disclosure Statement, Checks for Filing Fees; and Return Prepaid Postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Rose Flanagan*  
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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL-ENTITY STATUS**

I, the undersigned, do hereby declare that:

☒ I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: TRANSVIVO, INC.

ADDRESS OF CONCERN: 1100 Lincoln Avenue, Suite 206, Napa, CA 94558

I further declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I further declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the patent or application identified above.

The individual, concern or organization identified above has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

If the rights held by the above-identified individual, concern or organization are not exclusive, each individual, concern or organization having rights in the invention are identified below. Each such individual, concern or organization must file separate verified statements averring to their status as small entities.

**\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).**

FULL NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small-entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Applicant or Patentee: Gorsuch, J.

Attorney: Docket No.: TRANSVI.007A

Application or Patent No.: Unknown

Page 2

Filed or Issued: Herewith

For: **SPECIALIZED HOLLOW FIBER MEMBRANES FOR IN-VIVO PLASMAPHERESIS AND ULTRAFILTRATION**

NAME OF PERSON SIGNING: Jim Chestnut

TITLE OF PERSON (if not an owner or individual): President

ADDRESS OF PERSON SIGNING: 1100 Lincoln Avenue, Suite 206, Napa, CA 94558

SIGNATURE: 

DATE: 4-2-00

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